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Part IV
International cooperation for development

Section 13
Human settlements

(Programme 11 of the medium-term plan for the period 2002-2005)

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* The present document contains section 13 of the proposed programme budget for the biennium 2002-2003. The approved programme budget will subsequently be issued in final form as *Official Records of the General Assembly, Fifty-sixth Session, Supplement No. 6* (A/56/6/Rev.1).

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Section 13
Human settlements

(Programme 11 of the medium-term plan for the period 2002-2005)

Overview

13.1 The overall responsibility for the human settlements programme is vested in the United Nations Centre for Human Settlements (Habitat). The main functions of the Centre are as follows: (a) to serve as the focal point within the United Nations system for the implementation of the Habitat Agenda and for the global exchange of information on human settlements; (b) to provide substantive support to the Commission on Human Settlements and to assist it in keeping human settlements programmes under review and in assessing their effectiveness; (c) to assist Member States in providing, through the Commission on Human Settlements, the Economic and Social Council and the General Assembly, a coordinated framework for promoting and monitoring the implementation of the Habitat Agenda; (d) to assist the Secretary-General in enhancing the policy coherence and coordination of human settlements programmes planned and carried out by the United Nations system; (e) to promote cooperation and partnerships with local authorities, non-governmental organizations and major groups in civil society in the implementation of the Habitat Agenda at the global, regional, national and local levels; and (f) to provide advisory services and implement human settlements projects and programmes, at the request of Member States, focusing on strengthening in-country capacity to achieve adequate shelter for all and sustainable human settlements development.

13.2 In line with the medium-term plan for the period 2002-2005, the basic orientation of the human settlements programme during the biennium will be to promote: (a) the adoption and implementation by Member States of more appropriate and effective residential tenure systems and shelter development and the upgrading of policies; (b) the improvement of local governance and management systems so as to enable human settlements to more effectively meet the rising demand for basic services; and (c) enhanced international cooperation in shelter and sustainable human settlements development.

13.3 The salient feature of the programme during the biennium will be the consolidation of the two global campaigns, for secure tenure and on urban governance, launched in 2000. The campaigns will continue to constitute both entry points and organizing frameworks for shelter-related and urban development activities respectively, linking normative and operational work. Both campaigns will also constantly reinforce the interrelationship between the two themes of the Habitat Agenda, and will most clearly be coordinated and, where appropriate, merged, through direct support to Governments and other partners.

13.4 There will be five significant changes compared with the programme of work for the biennium 2000-2001, as follows: (a) both subprogrammes will emphasize the promotion of legislative reform within countries, focusing on tenure and rights to adequate housing, including equal property rights for women, and on inclusive urban governance; (b) more emphasis will be placed on training and capacity-building in order to consolidate the norms promoted through the global campaigns; (c) there will be greater emphasis on knowledge-building in the areas of urban economy, rural-urban linkages and municipal and housing finance, all of which need to be strengthened if cities are to more effectively contribute to national economic growth and development; (d) greater emphasis will be placed on gender mainstreaming, including the incorporation of the gender dimension within the programme's expected accomplishments and indicators of achievement, and the global campaigns will be used to promote an expanded role for women; and (e) an Urban Forum will be

created as part of the Centre's new role within the task manager system designed to facilitate implementation of the Habitat Agenda by United Nations agencies.

13.5 The General Assembly, in its resolution 55/234 of 23 December 2000, endorsed the recommendation of the Committee for Programme and Coordination that responsibility for conference services in Nairobi be transferred to the Department of General Assembly Affairs and Conference Services (A/55/16 and Corr.1 and 2, para. 91). As a result, resources for the biennium 2000-2001 for conference servicing of the meetings of the Commission on Human Settlements ($902,700) are shown under section 2, General Assembly affairs and conference services. As a result of this transfer, only the requirements for overtime in connection with the substantive servicing of the meetings of the Commission and for hospitality have been retained in the present section.

13.6 The overall resources under this section amount to $11,777,900, including five new posts and associated resource growth of $383,300 or 3.3 per cent. The proposed changes reflected in table 13.2 below are as follows:

(a) The amount of $28,900 under policy-making organs provides for overtime and hospitality requirements in connection with the meetings of the Commission on Human Settlements;

(b) The decrease of $164,000 under executive direction and management is due to: (i) the redeployment of one D-1 post from subprogramme 1 in exchange for one P-5 post; (ii) the redeployment of one P-4, one P-3 and one Local level post to subprogramme 2; (iii) the redeployment of a P-2 post for an information technology officer from subprogramme 2; (iv) the reclassification of a P-4 post to the P-5 level for the head of the Office of the Executive Director; (v) additional requirements for travel of staff and general operating expenses; and (vi) a small decrease for replacement of office equipment and furniture;

(c) The increase of $547,300 under programme of work is the result of the following: (i) under subprogramme 1, the establishment of two P-4 posts (1 for a human settlements officer in the regional office for Asia and the Pacific and 1 for the head of the Gender Unit) and one Local level post, and the reclassification of a P-4 post to the P-5 level for the head of the Housing Policy Unit; (ii) under subprogramme 2, the establishment of two posts (1 P-5 for an information coordinator and 1 P-4 human settlements officer in the regional office for Latin America and the Caribbean), the reclassification of one P-4 post to the P-5 level, for the head of the Risk and Disaster Unit and the redeployment of one P-4, one P-3 and one Local level post from executive direction and management to subprogramme 2, with the concurrent redeployment of a P-2 post to the Office of the Executive Director; and (iii) additional requirements for travel of staff and general operating expenses under subprogramme 1 and for general operating expenses under subprogramme 2, offset by reductions for one-time provisions for general temporary assistance and ad hoc expert group meetings approved under subprogramme 2 for the special session of the General Assembly for an overall review and appraisal of the implementation of the Habitat Agenda and its preparatory process.

13.7 During the biennium 2002-2003, expected extrabudgetary resources amounting to $111,445,200, or 90.1 per cent of the total resources available to the programme, will supplement resources from the regular budget to finance substantive activities as well as technical cooperation activities. The existing arrangements for intergovernmental review and management of those extrabudgetary funds provide for the Commission on Human Settlements to approve biennial budgets to govern the use of those resources.

13.8 The estimated percentage distribution of the total resources under this section for the biennium 2002-2003 is as shown in table 13.1.

Table 13.1 **Percentage distribution of resources by component**

Component	*Regular budget*	*Extrabudgetary*
A. Policy-making organs	0.3	-
B. Executive direction and management	20.4	5.1
C. Programme of work		
1. Adequate shelter for all	41.6	38.3
2. Sustainable human settlements development	37.7	56.6
Subtotal C	79.3	94.9
Total	**100.0**	**100.0**

Table 13.2 **Resource requirements by component**

(Thousands of United States dollars)

(1) *Regular budget*

Component	*1998-1999 expenditure*	*2000-2001 appropriation*	*Resource growth*		*Total before recosting*	*Recosting*	*2002-2003 estimate*
			Amount	*Percentage*			
A. Policy-making organs	1 072.6	28.9[a]	-	-	28.9	2.9	31.8
B. Executive direction and management	2 958.5	2 569.5	(164.0)	(6.3)	2 405.5	132.6	2 538.1
C. Programme of work	9 042.3	8 796.2	547.3	6.2	9 343.5	386.5	9 730.0
Total	**13 073.4**	**11 394.6[a]**	**383.3**	**3.3**	**11 777.9**	**522.0**	**12 299.9**

(2) *Extrabudgetary*

	1998-1999 expenditure	*2000-2001 estimate*	*2002-2003 estimate*
Total[b]	**142 642.2**	**197 482.1**	**111 445.2**
Total (1) and (2)	**155 715.6**	**208 876.7[a]**	**123 745.1**

[a] Adjusted to exclude conference-servicing resources of $902,700, which are presented under section 2.

[b] The increase in extrabudgetary resources between 1998-1999 and 2000-2001 is attributable mainly to the oil-for-food programme in northern Iraq, financed under the agreement between the United Nations and the Government of Iraq. An assumption has not been made for its continuation in the biennium 2002-2003, hence the decrease in the extrabudgetary resources estimated for 2002-2003.

Table 13.3 **Post requirements**

Category	Established regular budget posts		Temporary posts				Total	
			Regular budget		Extrabudgetary[a]			
	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003
Professional and above								
USG	1	1	-	-	-	-	1	1
D-1/D-2	4	4	-	-	7	7	11	11
P-1 to P-5	37	41	-	-	48	53	85	94
Subtotal	**42**	**46**	**-**	**-**	**55**	**60**	**97**	**106**
General Service	24	25	-	-	47	48	71	73
Total	**66**	**71**	**-**	**-**	**102**	**108**	**168**	**179**

[a] The proposed changes in the extrabudgetary resources staffing table have been adjusted to accommodate the requirements of the new organizational structure of the Centre. The proposals assume that the renewed support and confidence of Governments in the work programme of the Centre will be matched by meaningful increases in financial support.

A. Policy-making organs

Resource requirements (before recosting): $28,900

13.9 The 58-member Commission on Human Settlements was established by the General Assembly in its resolution 32/162 of 19 December 1977. The Commission reports to the Assembly, through the Economic and Social Council, and holds its regular eight-day sessions biennially at the headquarters of the United Nations Centre for Human Settlements (Habitat) in Nairobi. At its sessions, the Commission reviews the biennial programme of work of the Centre and the budget of the United Nations Habitat and Human Settlements Foundation, including the operational activities carried out by the Centre. The sessions bring together representatives of Member States as well as observers. The second United Nations Conference on Human Settlements (Habitat II), held in Istanbul in June 1996, mandated that other partners, including non-governmental organizations, representatives of local authorities and the private sector, that are major players in human settlements development also contribute to programme definition and monitoring. Thus, since the sixteenth session of the Commission, the number of participating observers has increased. The Commission is serviced by the Resource Mobilization and External Affairs Unit. The functions of the Unit in relation to the Commission are to coordinate the servicing of its meetings and those of the Committee of Permanent Representatives, including preparing and distributing related documents.

13.10 As mentioned in paragraph 13.5 above, the General Assembly, in its resolution 55/234, endorsed the recommendation of the Committee for Programme and Coordination that responsibility for conference services in Nairobi be transferred to the Department of General Assembly Affairs and Conference Services. Thus, the resource requirements related to conference servicing of the meetings of the Commission are reflected under section 2, General Assembly affairs and conference services.

Table 13.4 **Resource requirements**

Category	Resources (thousands of United States dollars) 2000-2001	2002-2003 (before recosting)	Posts 2000-2001	2002-2003
Regular budget				
Non-post	28.9	28.9	-	-
Extrabudgetary	-	-	-	-

13.11 The provision of $28,900 covers overtime requirements for substantive servicing of the meetings of the Commission and hospitality requirements during its biennial sessions.

B. Executive direction and management

Resource requirements (before recosting): $2,405,500

13.12 Executive direction and management is under the responsibility of the Executive Director, whose office includes the Liaison Office in New York. The Executive Director provides overall direction, supervision and management of the Centre in the implementation of its legislative mandate and its approved programme of work. The functions of the New York Liaison Office include participation in the work of the General Assembly and the Economic and Social Council and in meetings of other intergovernmental bodies, liaison with permanent missions in New York and provision of information to all partners involved in human settlement activities, including non-governmental organizations.

13.13 During the biennium, the following programme support outputs will be delivered: servicing of intergovernmental and expert bodies:

(a) Substantive servicing. The nineteenth session of the Commission on Human Settlements (2003) and the first session of the Urban Forum (2002);

(b) Parliamentary documentation. Reports to the Commission on Human Settlements at its nineteenth session on the activities of the Centre and on operational activities (1) and reports to eight sessions of the Committee of Permanent Representatives, as requested.

Table 13.5 **Resource requirements**

Category	Resources (thousands of United States dollars) 2000-2001	2002-2003 (before recosting)	Posts 2000-2001	2002-2003
Regular budget				
Post	2 219.8	2 000.7	15	13
Non-post	349.7	404.8	-	-
Total	**2 569.5**	**2 405.5**	**15**	**13**
Extrabudgetary	5 022.5	5 703.9	25	25

13.14 The requirements of $2,405,500 reflect a net decrease of $164,000. The reduction in requirements for posts results largely from a net transfer of posts to the programme of work, including the

redeployment of one D-1 post from subprogramme 1 in exchange for one P-5 post from the Centre's New York Liaison Office, the redeployment of one P-4, one P-3 and one Local level post to subprogramme 2 and the redeployment of one P-2 post for an information technology officer from subprogramme 2. The post changes have been offset by an increase in non-post resources to provide for fuller representation at a high level at intergovernmental meetings and an increased provision for communications costs for the New York Liaison Office.

C. Programme of work

Table 13.6 **Resource requirements by subprogramme**

	Resources (thousands of United States dollars)		*Posts*	
Subprogramme	*2000-2001*	*2002-2003 (before recosting)*	*2000-2001*	*2002-2003*
Regular budget				
1. Adequate shelter for all	4 453.8	4 903.1	27	30
2. Sustainable human settlements development	4 342.4	4 440.4	24	28
Total	**8 796.2**	**9 343.5**	**51**	**58**
Extrabudgetary	192 459.6	105 741.3	77	83

Subprogramme 1
Adequate shelter for all

Resource requirements (before recosting): $4,903,100

13.15 The adequate shelter for all programme will be responsible for the implementation of subprogramme 1. The programme of work has been formulated on the basis of subprogramme 1 of programme 11 of the medium-term plan for the period 2002-2005.

Table 13.7 **Objectives for the biennium, expected accomplishments and indicators of achievement**

Objective: To support Governments and other partners of the Centre to improve the shelter conditions of the world's urban poor, particularly in developing countries.

Expected accomplishments	*Indicators of achievement*
(a) Secure tenure and recognition of the importance of the urban poor as partners in tenure and shelter improvement at the global, regional, national and local levels.	(a) The number of countries adopting the norms of secure tenure, introducing tenure policies that reflect wider choice for the poor and reducing forced evictions.
(b) Greater recognition and the progressive realization of the right to adequate housing and of equal tenure rights for women.	(b) The number of countries recognizing the importance of the right to adequate housing, including equal tenure rights for women, and improving relevant legislation.

Expected accomplishments	*Indicators of achievement*
(c) Improved access to basic services in both urban and rural settlements, such as water, sanitation, waste management and public transport.	(c) The number of countries and local authorities implementing comprehensive strategies for improving basic services, especially water, sanitation, waste management and public transport.
(d) Improved access to finance and credit, as well as more appropriate forms of credit, especially for the urban poor.	(d) The number of countries and cities implementing policies, programmes and projects to increase the volume of finance and credit available for the development of low-income settlements.
(e) Improved knowledge of global shelter conditions and trends and of progress made in implementing the Habitat Agenda goal of adequate shelter for all.	(e) The level of demand by institutions and the public of the Centre's key publications, such as the Global Report on Human Settlements, and of statistical information.
(f) Sustained mainstreaming of cross-cutting policy issues, including gender and capacity-building, within shelter development programmes and projects.	(f) The number of Centre-assisted shelter programmes and projects clearly incorporating gender and capacity-building dimensions.
(g) Effective people-centred slum-upgrading policies at the national and city levels through the scaling-up of locally gained experiences.	(g) The number of countries and cities implementing slum-upgrading programmes, including through partnerships with organizations for the poor.

External factors

13.16 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that: (a) there is political will to implement policies to improve tenurial rights of the poor, in particular; (b) the extrabudgetary resources required, especially for technical cooperation activities, will be available; and (c) Member States will be willing to request advisory services and technical assistance, to respond positively to the technical advice rendered and to sustain the policies and strategies initiated through the technical assistance programmes and projects implemented.

Outputs

13.17 During the biennium 2002-2003, the following outputs will be delivered:

(a) Servicing of intergovernmental and expert bodies (RB/XB)

(i) Commission on Human Settlements: parliamentary documentation: declaration on the norms of secure tenure (1);

(ii) Ad hoc expert groups: expert group meetings on self-help housing schemes and housing finance microcredit for low-income shelter development within the context of secure tenure and on the global campaign for secure tenure;

(b) Other substantive activities (RB/XB)

(i) Recurrent publications: quarterly issues of *Habitat* (8);

(ii) Non-recurrent publications: review of lessons from housing finance operations in developed and developing countries (1); guidelines for strengthening environmental health in slum-upgrading projects (1); management tool kits and training packages for improving access to services in low-income urban settlements, with a focus on community-based interventions and small-scale private sector participation (2); policy papers and guidelines on cross-cutting substantive issues of importance for the subprogramme, such as gender and poverty reduction (2); publications, in hard copy or on the Internet, of policy papers on secure tenure (2); report on progress made in removing discrimination against women in respect of property titles and inheritance rights (1); and report on the implementation of housing rights, including the establishment of a housing rights composite index (1);

(iii) Technical material: development and maintenance of databases containing indicators, statistics and other information on shelter conditions and trends and on the progress made in implementing the shelter aspects of the Habitat Agenda (2); and searchable library of best practices and related public information products (1);

(iv) Promotion of legal instruments: guidelines for reform of housing rights legislation (1); legal guidebook on different forms of tenure, including identification of pro-poor options (1); and tools for promoting equal tenurial rights for women, especially in respect of property title and inheritance rights (1);

(c) International cooperation and inter-agency coordination (RB/XB). The Centre will cooperate with its main partners, namely, central Governments, local authorities and their associations, funding partners, capacity-building institutions for local development, human settlements research and training institutions and non-governmental organizations working in the area of human settlements, including civil society and private sector organizations. More specifically, the Centre will work in partnership with the following organizations in the areas indicated: (i) regional and national networks of non-governmental and community-based organizations representing and organizing the urban poor; (ii) the Office of the High Commissioner for Human Rights in the area of realization of the right to adequate housing; (iii) UNDP, in technical cooperation activities for improving shelter for the poor; (iv) the World Bank, in upgrading slum and squatter settlements in cities in developing countries, within the context of the Cities Alliance; and (v) UNEP, in improving the water supply in cities in Africa, within the context of the Water for African Cities Programme;

(d) Technical cooperation (XB)

(i) Advisory services on improving basic services in small and medium-sized towns, particularly water and sanitation services, and memoranda of understanding and/or agreements with partner organizations in all regions;

(ii) Training courses, seminars and workshops: regional training of trainers and of advisers to strengthen institutions and organizations involved in pro-poor slum upgrading programmes and interregional workshops on microcredit systems for low-income settlements;

(iii) Field projects: capacity-building, networking and demonstration projects on promoting secure tenure in post-conflict countries and the development of slum-upgrading programmes and policies in Africa, the Arab States, Asia and Latin America.

Table 13.8 **Resource requirements: subprogramme 1**

	Resources (thousands of United States dollars)		*Posts*	
Category	*2000-2001*	*2002-2003 (before recosting)*	*2000-2001*	*2002-2003*
Regular budget				
Post	4 134.6	4 410.7	27	30
Non-post	319.2	492.4	-	-
Total	**4 453.8**	**4 903.1**	**27**	**30**
Extrabudgetary	35 158.7	42 703.1	38	41

13.18 The requirements of $4,903,100 reflect an increase of $449,300 resulting from: (a) the establishment of two new P-4 posts, one for a human settlements officer in the regional office for Asia and the Pacific and the other for the head of the Gender Unit, and one new Local level post; (b) the reclassification of a P-4 post to the P-5 level for the head of the Housing Policy Unit; and (c) additional requirements for travel of staff and general operating expenses to reflect a more appropriate balance of burden sharing between the regular budget and extrabudgetary resources.

Subprogramme 2
Sustainable human settlements development

Resource requirements (before recosting): $4,440,400

Table 13.9 **Objectives for the biennium, expected accomplishments and indicators of achievement**

Objective: To support Governments and other partners of the Centre in the adoption of socially integrated, inclusive, accessible, transparent, participatory and accountable urban governance and management, at the national and local levels, with a view to ensuring sustainable urban development.

Expected accomplishments	*Indicators of achievement*
(a) Increased acceptance of and use of norms of urban governance among national Governments and city authorities, civil society organizations and non-governmental organizations.	(a) The number of countries and city associations accepting and using norms of urban governance, including in the reform of national and local policies and legal frameworks.
(b) Improved and strengthened management capacity of local authorities through effective training as well as the promotion of decentralization policies, legislation and programmes.	(b) The number of countries and local authorities having adopted decentralization policies and having implemented the Centre's advice on improving urban management legislation.

Expected accomplishments	*Indicators of achievement*
(c) Improved security within cities through effective crime prevention and disaster mitigation as well as management strategies developed by city authorities and civil society organizations.	(c) The number of cities where the "safer city" approach has been institutionalized and the implementation of vulnerability reduction and disaster management strategies has been initiated.
(d) More effective environmental planning and management in the context of improved urban governance.	(d) The number of countries in which environmental policies and legislation enabling local sustainable urban development have been enacted.
(e) Improved knowledge of global urban conditions and trends and of progress made in implementing the Habitat Agenda goal of sustainable human settlements development.	(e) The level of demand by institutions and the public for the Centre's key publications, such as the Global Report on Human Settlements, and for statistical information.
(f) Sustained mainstreaming of cross-cutting policy issues, including gender and capacity-building, within human settlements programmes and projects.	(f) The number of Centre-assisted human settlements development programmes and projects clearly incorporating gender and capacity-building dimensions.
(g) Improved effectiveness of programmes and strategies based on norms of urban governance, especially those implemented by local authorities.	(g) The institutionalization of the city consultation process in at least 30 cities in Africa, Asia, Latin America and the Caribbean, Central and Eastern Europe and the Arab States.
(h) Better knowledge of urban economic conditions and urban-rural linkages, as well as improved formulation of relevant government policies, strategies and programmes.	(h) The quality of research, as reflected in the comments of users and readers, and the number of government requests for policy advice on urban economy and urban-rural linkages.
(i) Better knowledge of local government finance and improved formulation of relevant policies, strategies and programmes.	(i) The quality of research and of municipal finance tools, as reflected in user comments, as well as the number of requests for advice on urban finance.

External factors

13.19 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that: (a) there is political will to implement policies and measures on the use of norms of urban governance and to promote decentralization policies, legislation and programmes; (b) extrabudgetary resources will be available, especially for technical cooperation activities; and (c) Member States will be willing to request advisory services and technical assistance, to respond positively to the technical advice rendered and to sustain the policies and strategies initiated through the technical assistance programmes and projects implemented.

Outputs

13.20 During the biennium 2002-2003, the following outputs will be delivered:

(a) Servicing of intergovernmental and expert bodies (RB/XB)

(i) Commission on Human Settlements: parliamentary documentation: declaration on the norms of good urban governance (revised) (1), and documentation for the Urban Forum meeting (1);

(ii) Ad hoc expert groups: consultations and seminars with civil society organizations working in the area of human settlements on the norms of good urban governance and on crime prevention and anti-disaster strategies (2);

(b) Other substantive activities (RB/XB)

(i) Recurrent publications: *Global Report on Human Settlements* (fourth edition) (2003); quarterly issues of *Habitat Debate* (8); *The State of the World's Cities* report (third edition) (1); and *Directory of Non-Governmental Organizations in the Field of Human Settlements* (1);

(ii) Non-recurrent publications: good urban governance tools, including an index for measuring progress in the achievement of good urban governance (1); municipal management and finance tools for use by cities (1); policy paper on the impact of urbanization on rural development (1); policy paper on peri-urban agriculture (1); policy papers and/or guidelines on cross-cutting substantive issues of importance for the subprogrammes, such as gender and poverty reduction (2); tools on crime prevention and urban vulnerability reduction and on disaster prevention and management (1); tools for urban environmental planning and management (1); report on regulatory constraints on the informal economy's contributions to urban economic development and poverty eradication, within the framework of good urban governance (1); financing, pricing and management of municipal services: comparative evaluation of systems, including public-private partnerships (1); and manuals, handbooks and other tools for capacity-building in local leadership and urban management (1);

(iii) Technical material for outside users: databases containing indicators, statistics and other information on urban development conditions and trends and on progress in implementing the urban development aspects of the Habitat Agenda (1); database on illustrative cities to exemplify good practices in the field of urban governance (1); and searchable library of best practices and related public information products (1);

(c) International cooperation and inter-agency coordination (RB/XB). The Centre will cooperate with its main partners, namely, central Governments, local authorities and their associations, funding partners, capacity-building institutions for local development, human settlements research and training institutions and non-governmental organizations working in the area of human settlements, including civil society and private sector organizations. More specifically, the Centre will work in partnership with the following organizations in the areas indicated: (i) the International Union of Local Authorities, the United Towns Organization and other international associations of local authorities in the promotion of norms of good governance at the local level; (ii) UNDP, in the areas of urban governance and poverty reduction, including through the Urban Management Programme; (iii) the World Bank, in assisting countries to implement city development strategies as part of the Cities Alliance and in the development of the urban indicators database; (iv) UNEP, in the area of urban environmental planning and management, especially within the context of the Sustainable Cities Programme; (v) the International Council for Local Environmental Initiatives, Environment

and Development in the Third World and the Society for Development Studies (New Delhi) in developing urban indicators as part of the Global Urban Observatory network; (vi) the Rockefeller Foundation in implementing aspects of the Global Campaign on Urban Governance in Eastern and Southern Africa; and (vii) the Ford Foundation in compiling local governance innovations, also within the context of the Global Campaign on Urban Governance;

(d) Technical cooperation (XB)

(i) Advisory services: missions in support of city-to-city cooperation; improved legislation and better municipal management and finance; and regional and country good urban governance campaigns together with partners, in particular the Cities Alliance;

(ii) Training courses, seminars and workshops: interregional seminar on strategies for improving the environment for and enhancing the productivity of the informal sector, including micro-enterprises and small-scale enterprises; regional training of trainers and of advisers to strengthen operational institutions and organizations in the governance of human settlements and urban development; training and action-planning workshops for national professionals and officials involved in the management and development of sustainable human settlements; and a workshop on the impact of macroeconomic variables and policies on urban capital investments, employment generation and income enhancement;

(iii) Field projects: city consultations on sustainable urban development demonstrating the benefits of good urban governance; field projects in support of city development strategies in Africa, Asia, Latin America and the Caribbean, Central and Eastern Europe and the Arab States; field projects in support of improved environmental planning and management at the local level; field projects on safer cities, disaster prevention and the management and rebuilding of human settlements; and provision of support to national capacity-building institutions through joint strategic planning, strengthening of their own effectiveness and development of learning tools.

Table 13.10 **Resource requirements: subprogramme 2**

	Resources (thousands of United States dollars)		*Posts*	
Category	*2000-2001*	*2002-2003 (before recosting)*	*2000-2001*	*2002-2003*
Regular budget				
Post	3 358.3	3 921.2	24	28
Non-post	984.1	519.2	-	-
Total	**4 342.4**	**4 440.4**	**24**	**28**
Extrabudgetary	157 300.9	63 038.2	39	42

13.21 The requirements of $4,440,400 reflect a net increase of $98,000 resulting from the following: (a) the establishment of two new posts, one P-5 for an information coordinator in Nairobi and one P-4 for a human settlements officer in the regional office for Latin America and the Caribbean; (b) the reclassification of one P-4 post to the P-5 level, for the head of the Risk and Disaster Unit; (c) the inward redeployment of one P-4, one P-3 and one Local level post from executive direction and management, with a concurrent outward redeployment of a P-2 post to the Office of the Executive Director; (d) a reduction for one-time non-post provisions approved in connection with the special

session of the General Assembly for an overall review and appraisal of the implementation of the Habitat Agenda and its preparatory process; and (e) additional requirements for general operating expenses to provide for more appropriate sharing of communications costs between the regular budget and extrabudgetary resources.

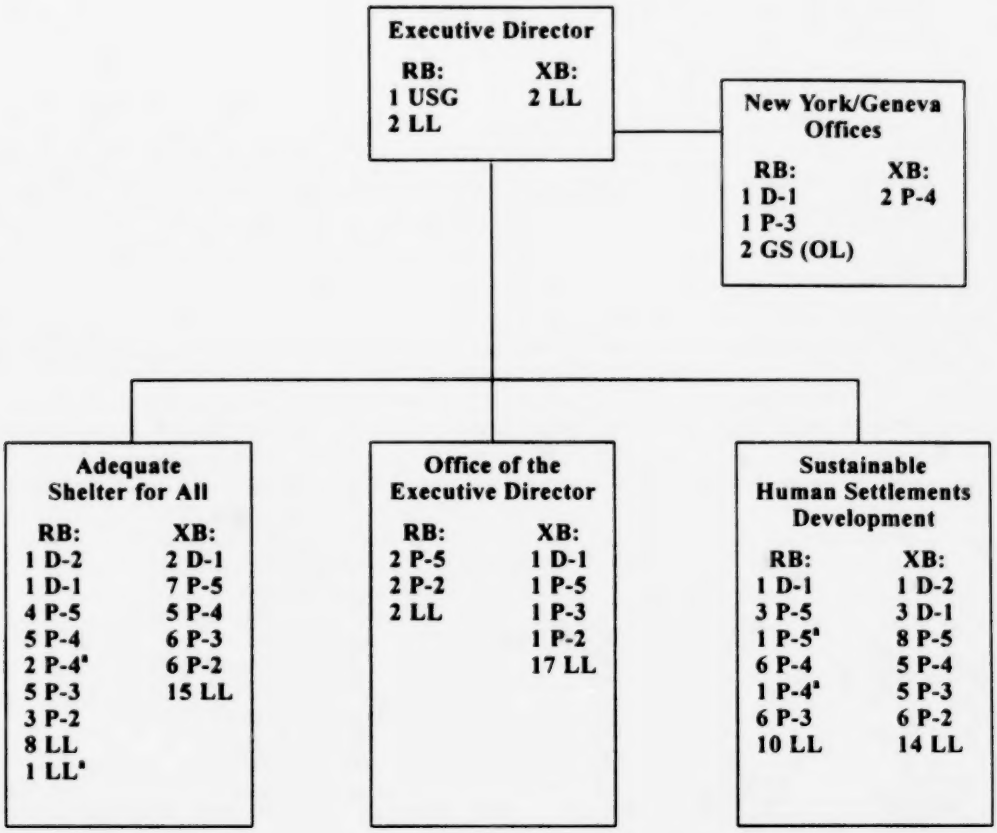
Table 13.11 **Summary of follow-up action taken to implement relevant recommendations of the internal and external oversight bodies and the Advisory Committee on Administrative and Budgetary Questions**

Brief description of the recommendation	*Action taken to implement the recommendation*
Advisory Committee on Administrative and Budgetary Questions (A/54/7, chap. II)	
The Advisory Committee noted that programme support would be provided by the United Nations Office at Nairobi. The Committee recommended that in future the cost of services provided by the United Nations Office at Nairobi be revealed in a footnote to table 13.2 (para. IV.78).	The cost of services provided by the United Nations Office at Nairobi has been indicated separately. The Office has undertaken a detailed review of all resources allocated from the regular budget and extrabudgetary funds to the various administrative services. The exercise included the determination of the total cost of the Office's administrative services and workload indicators, the quantification of outputs in monetary terms and the formulation of methodology and standard or unit rates. Based on this study, a further review has been organized with UNEP and Centre management on the conceptualization of the various issues involved, including the mechanism and modalities for implementation. At the time of budget preparation, these discussions were still ongoing. It is expected that this review will produce a detailed system of reimbursement for services, based on the different types of charge-back and cost-sharing models, from the extrabudgetary funds of these client organizations. It is further expected that the consultations with UNEP and the Centre will be finalized during 2001 and that a new system will be put in place by 1 January 2002.

Brief description of the recommendation	*Action taken to implement the recommendation*
Office of Internal Oversight Services (A/54/764)	
The Centre should consider, as a priority, strengthening the resource base of the Monitoring and Evaluation Unit (para. 73).	The recommendation is being implemented. A P-5 post is now responsible for monitoring and evaluation and programme planning, improving integration and strengthening the management support of the Monitoring and Evaluation Unit. The Centre is pursuing efforts to add a Junior Professional Officer to the Unit.
The Programme Support Division should, in consultation with the United Nations Office at Nairobi and key donors, review and finalize its financial management information requirements, review the mechanisms and resources required to ensure that financial information is provided in an accurate and timely manner and examine ways of improving financial data exchanges between regional offices, field projects and Nairobi (para. 74).	The recommendation is being implemented. The project management system has been updated and enhanced with improved reporting formats. The financial data exchange between the United Nations Office at Nairobi and regional offices has been modernized through the increased use of computerized formats.
The Centre should give serious consideration to enhancing the effectiveness of its resource mobilization by drawing on the innovative approaches of other United Nations funds and programmes. The review of the fund-raising concepts and techniques of major successful NGOs may also produce some useful insights for reviewing the Centre's policies in this regard (para. 75).	The recommendation is being implemented. The Centre intends to establish an effective in-house fund-raising department as soon as funding for the establishment of the required post is secured. Arrangements are presently being made to attract extrabudgetary funding for an officer at the P-4/P-5 level to assist with fund-raising activities.
The Centre should conduct an in-depth review of the financial sustainability of regional offices and develop measures necessary to ensure such sustainability in the long term. As part of this review, various means of financial support, including the provision of additional regular budget resources and/or the outposting of headquarters personnel to regional offices, should be considered (para. 76).	The recommendation is being implemented. In August 2000, one Professional was re-allocated on an interim basis from headquarters to the regional office for Latin America and the Caribbean in order to increase the sustainability of the office. Additional regular budget resources of two new P-4 posts are included in the programme budget for the biennium 2002-2003.
The Centre should seek specialized assistance from the Archives and Records Management Section in New York in setting up its records and archives management system. Consideration should be given to seeking a donor contribution if additional resources are necessary (para. 77).	The New York office is in contact with the Archives and Records Management Section. The Centre will use the outcome of these consultations to implement the recommendations.

Brief description of the recommendation	*Action taken to implement the recommendation*
The Centre should set a specific deadline for designing effective mechanisms of incentives and safeguards that will encourage both individual participation in flex-teams and support from supervisors to such participation by their staff (para. 78).	The recommendation is being implemented. A report was completed and is under review. Further steps, planned for the first quarter of 2001, will be taken to implement the recommendations of the report.
Systematic action should be taken to reclassify all posts in the new organizational structure according to their level and type of service and to decide which posts belong to the 100 or the 200 series. Criteria should be put in place to evaluate the serving staff against the new staffing table, and due consideration should be given to satisfactory performance and length of service. Appropriate advisory bodies on appointment and promotion should be constituted in accordance with established United Nations policies and procedures (para. 79).	The recommendation is being implemented. As a first step towards the implementation of this recommendation all senior management posts have been advertised for recruitment under the 100 series. Recruitment procedures for these posts have either been completed or are at an advanced stage. Further steps have been undertaken to classify all posts under the new structure. Evaluation of the staff against the existing staffing table will take place as soon as the senior management posts have been filled.
The Centre should carry out a needs assessment with regard to management capacity-building, design an appropriate strategic plan concerning relevant training and appoint a coordinator for the issue; and should launch an active search for creative solutions to finance the exercise (para. 80).	The recommendation is being implemented. The Centre has designated a focal point for capacity-building, and the first phase of the needs assessment has been completed.
Management should revive dialogue with staff and meet with them on a regular basis, and should use participatory channels of communication to mobilize active and dedicated support for revitalization (para. 81).	The recommendation is being implemented. The new Executive Director has had an intensive dialogue with the staff union and the minutes of senior management meetings as well as regular updates on activities of the Centre are distributed by email to all staff members.
Board of Auditors	
	The follow-up actions taken in implementing the recommendations of the Board of Auditors in its report for the biennium ended 31 December 1997 can be found in the annex to the report of the Board of Auditors on the United Nations Habitat and Human Settlements Foundation for the biennium 1998-1999 (A/55/5/Add.8, chap. II).

United Nations Centre for Human Settlements
Organizational structure and post distribution for the biennium 2002-2003



[a] New posts.

Annex

Indicative resource requirements
Human settlements

Table A.13.1 **Requirements by component and source of funds**

(Thousands of United States dollars)

(1) *Regular budget*

Component	1998-1999 expenditure	2000-2001 appropriation	Resource growth		Total before recosting	Recosting	2002-2003 estimate
			Amount	Percentage			
A. Policy-making organs	1 072.6	28.9	-	-	28.9	2.9	31.8
B. Executive direction and management	2 958.5	2 569.5	(164.0)	(6.3)	2 405.5	132.6	2 538.1
C. Programme of work	9 042.3	8 796.2	547.3	6.2	9 343.5	386.5	9 730.0
Total	**13 073.4**	**11 394.6**	**383.3**	**3.3**	**11 777.9**	**522.0**	**12 299.9**

(2) *Extrabudgetary*

1998-1999 expenditure	2000-2001 estimate	Source of funds	2002-2003 estimate
		(a) Services in support of:	
1 546.8	1 850.0	(i) United Nations organizations	1 850.0
1 460.6	1 234.0	(ii) Extrabudgetary activities	1 964.3
		(b) Substantive activities	
3 945.7	5 949.6	United Nations Habitat and Human Settlements Foundation	6 698.6
5 362.0	6 648.5	United Nations Centre for Human Settlements (Habitat)	6 332.3
		(c) Operational projects	
21 367.6	11 800.0	United Nations Habitat and Human Settlements Foundation	14 600.0
108 959.5	170 000.0	UNDP and other sources	80 000.0[a]
142 642.2	**197 482.1**	**Total**	**111 445.2**
155 715.6	**208 876.7**	**Total (1) and (2)**	**123 745.1**

[a] It is too early at this point to accurately project operational project activity. The apparent reduction in activity reflects an absence of sufficient information rather than of a definitive change in resource levels.

Table A.13.2 **Requirements by object of expenditure**

(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1998-1999 expenditure*	*2000-2001 appropri-ation*	*Resource growth*		*Total before recosting*	*Recosting*	*2002-2003 estimate*
			Amount	*Percentage*			
Posts	10 734.5	9 712.7	619.9	6.3	10 332.6	404.2	10 736.8
Other staff costs	1 241.5	467.4	(248.3)	(53.1)	219.1	13.2	232.3
Consultants and experts	413.5	610.1	(336.8)	(55.2)	273.3	23.0	296.3
Travel of staff	117.9	193.8	68.5	35.3	262.3	14.2	276.5
Contractual services	99.1	68.2	-	-	68.2	7.1	75.3
General operating expenses	156.9	129.3	283.9	219.5	413.2	40.2	453.4
Hospitality	5.4	4.6	-	-	4.6	0.5	5.1
Supplies and materials	81.9	75.6	-	-	75.6	7.0	82.6
Furniture and equipment	222.7	132.9	(3.9)	(2.9)	129.0	12.6	141.6
Total	**13 073.4**	**11 394.6**	**383.3**	**3.3**	**11 777.9**	**522.0**	**12 299.9**

(2) *Extrabudgetary*

Object of expenditure	*1998-1999 expenditure*	*2000-2001 estimate*	*2002-2003 estimate*
Posts	8 382.6	11 231.8	12 018.9
Other staff costs	178.1	4.0	71.1
Consultants and experts	44.9	247.5	165.4
Travel of staff	212.7	192.8	467.1
Contractual services	311.5	357.7	368.1
General operating expenses	1 427.0	1 725.8	1 616.2
Supplies and materials	114.9	62.5	130.1
Furniture and equipment	96.6	10.0	158.3
Other	131 873.9	183 650.0	96 450.0
Total	**142 642.2**	**197 482.1**	**111 445.2**
Total (1) and (2)	**155 715.6**	**208 876.7**	**123 745.1**

Table A.13.3 **Post requirements**

Category	Established regular budget posts		Temporary posts: Regular budget		Temporary posts: Extrabudgetary		Total	
	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003
Professional and above								
USG	1	1	-	-	-	-	1	1
D-2	1	1	-	-	1	1	2	2
D-1	3	3	-	-	6	6	9	9
P-5	6	10	-	-	15	16	21	26
P-4/3	26	26	-	-	21	24	47	50
P-2/1	5	5	-	-	12	13	17	18
Subtotal	**42**	**46**	-	-	**55**	**60**	**97**	**106**
General Service								
Other level	2	2	-	-	-	-	2	2
Other								
Local level	22	23	-	-	47	48	69	71
Total	**66**	**71**	-	-	**102**	**108**[a]	**168**	**179**

[a] The extrabudgetary staffing table has been adjusted to accommodate the evolving requirements of UNCHS and assumes that the renewed support and confidence of Governments in the work programme of the Centre will be matched by increases in contributions.

A. Policy-making organs

Table A.13.4 **Requirements by object of expenditure**

(Thousands of United States dollars)

Regular budget

Object of expenditure	1998-1999 expenditure	2000-2001 appropriation	Resource growth: Amount	Resource growth: Percentage	Total before recosting	Recosting	2002-2003 estimate
Other staff costs	1 048.1	24.3	-	-	24.3	2.4	26.7
General operating expenses	19.1	-	-	-	-	-	-
Hospitality	5.4	4.6	-	-	4.6	0.5	5.1
Supplies and materials	-	-	-	-	-	-	-
Total	**1 072.6**	**28.9**	-	-	**28.9**	**2.9**	**31.8**

Resource requirements (before recosting)

Other staff costs

A.13.1 In its resolution 55/234 of 23 December 2000, the General Assembly endorsed the recommendation of the Committee for Programme and Coordination that responsibility for conference services in Nairobi be transferred to the Department of General Assembly Affairs and Conference Services (A/55/16 and Corr.1 and 2, para. 91). This decision was implemented in 2001. As a result, the resources that remained under section 13 amounted to $24,300. These resources are required for

general temporary assistance for the nineteenth session of the Commission on Human Settlements, to be held in 2001. The same amount is required for the biennium 2002-2003.

Hospitality

A.13.2 A provision of $4,600, at the maintenance level, is required for official functions in connection with the twentieth session of the Commission.

B. Executive direction and management

Table A.13.5 **Requirements by object of expenditure and source of funds**

(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1998-1999 expenditure*	*2000-2001 appropriation*	*Resource growth*		*Total before recosting*	*Recosting*	*2002-2003 estimate*
			Amount	*Percentage*			
Posts	2 449.7	2 219.8	(219.1)	(9.8)	2 000.7	106.4	2 107.1
Other staff costs	12.9	194.8	-	-	194.8	10.8	205.6
Consultants and experts	90.2	-	-	-	-	-	-
Travel of staff	41.2	30.5	25.5	83.6	56.0	3.0	59.0
Contractual services	13.2	-	-	-	-	-	-
General operating expenses	46.7	60.9	33.5	55.0	94.4	7.5	101.9
Supplies and materials	81.9	25.4	-	-	25.4	2.0	27.4
Furniture and equipment	222.7	38.1	(3.9)	(10.2)	34.2	2.9	37.1
Total	**2 958.5**	**2 569.5**	**(164.0)**	**(6.3)**	**2 405.5**	**132.6**	**2 538.1**

(2) *Extrabudgetary*

1998-1999 expenditure	*2000-2001 estimate*	*Source of funds*	*2002-2003 estimate*
		(a) Services in support of:	
1 546.8	1850.0	(i) United Nations organizations	1 850.0
1 460.6	1 234.0	(ii) Extrabudgetary activities	1 964.3
		(b) Substantive activities	
1 826.9	1 938.5	United Nations Habitat and Human Settlements Foundation	1 889.6
-	-	United Nations Centre for Human Settlements (Habitat)	-
4 834.3	**5 022.5**	**Total**	**5 703.9**
7 792.8	**7 592.0**	**Total (1) and (2)**	**8 242.0**

Table A.13.6 **Post requirements**

Category	Established regular budget posts		Temporary posts				Total	
			Regular budget		Extrabudgetary			
	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003
Professional and above								
USG	1	1	-	-	-	-	1	1
D-1	-	1	-	-	-	1	-	2
P-5	2	2	-	-	-	1	2	3
P-4/3	4	1	-	-	3	3	7	4
P-2/1	1	2	-	-	1	1	2	3
Subtotal	**8**	**7**	**-**	**-**	**4**	**6**	**12**	**13**
General Service								
Other level	2	2	-	-	-	-	2	2
Other								
Local level	5	4	-	-	21	19	26	23
Total	**15**	**13**	**-**	**-**	**25**	**25**	**40**	**38**

Resource requirements (before recosting)

Posts

A.13.3 An amount of $2,000,700 is required to cover the costs of the posts enumerated in table A.13.6. The reasons for the decrease of $219,100, which is the net result of several post changes arising mainly in relation to the revitalization strategy for the United Nations Centre for Human Settlements (Habitat), which commenced in late 1998 and which was endorsed by the Commission at its seventeenth session, in May 1999 (resolution 17/7), are as follows: (a) the outward redeployment of one P-4, one P-3 and one Local level post to subprogramme 2, in view of major changes contributing to or impacting on the achievement of the objectives of the subprogramme, such as the level of extrabudgetary funding and requests for advisory services and technical assistance; (b) the reclassification of the post of the head of the Office of the Executive Director from the P-4 to the P-5 level to reflect the level of the functions performed by the post; (c) the redeployment of one D-1 post from subprogramme 1 in exchange for one P-5 post from the New York Liaison Office to strengthen the New York office and to reflect the increased responsibilities and higher professional interaction of the Chief of the Office; and (d) with the growing importance of Internet- and Intranet-based information and communication technologies and in line with the recommendations of the review of the organization of the Centre's information and information technology, a P-2 post is redeployed from subprogramme 2 to serve as an information technology officer. The incumbent will provide direct support to information technology users within the Centre; carry out liaison with the United Nations Office at Nairobi's Information Technology Service Unit; coordinate and implement databases; carry out technical development of the Centre's web site; carry out technical development of Lotus Notes databases and workflow; and coordinate hardware and software distribution.

Other staff costs

A.13.4 The amount of $194,800, at the maintenance level, is required for all of the Centre's needs for temporary replacement of staff on extended sick leave or maternity leave and for the engagement of additional staff during peak workload periods.

Travel of staff

A.13.5 An amount of $56,000 is required for the travel of the Executive Director and the staff of his/her office to consult with Headquarters, to participate in the work of the General Assembly and the Economic and Social Council and to consult with various institutions in different regions on the Centre's programmes. Included in the estimates is a provision of $12,000 for the travel of the head of the New York Liaison Office to attend the Commission's biennial session and other relevant meetings.

General operating expenses

A.13.6 An amount of $94,400, reflecting an increase of $33,500, is required for communications requirements and maintenance of office equipment in the Office of the Executive Director in Nairobi ($47,000) and the Liaison Office in New York ($47,400). Of the increase, $17,500 relates to communications costs in the Office of the Executive Director to reflect a more equitable distribution of telephone charges between the United Nations Habitat and Human Settlements Foundation and the United Nations regular budget. The remainder of the increase ($16,000) provides for the cost of maintaining information technology and photocopying equipment. Those costs were previously charged entirely to the Foundation. They reflect the standard charges in Nairobi for such equipment.

Supplies and materials

A.13.7 An amount of $25,400, at the maintenance level, is required for supplies and materials for the Office of the Executive Director ($13,900) and the New York Liaison Office ($11,500).

Furniture and equipment

A.13.8 An amount of $34,200, reflecting a decrease of $3,900, is required for the replacement of office equipment and furniture in Nairobi ($19,000) and New York ($15,200).

C. Programme of work

Table A.13.7 **Percentage distribution of resources by subprogramme**

Subprogramme	*Regular budget*	*Extrabudgetary*
1. Adequate shelter for all	52.5	40.4
2. Sustainable human settlements development	47.5	59.6
Total	**100.0**	**100.0**

Table A.13.8 **Requirements by subprogramme and source of funds**

(Thousands of United States dollars)

(1) *Regular budget*

Subprogramme	*1998-1999 expenditure*	*2000-2001 appropriation*	*Resource growth*		*Total before recosting*	*Recosting*	*2002-2003 estimate*
			Amount	*Percentage*			
1. Adequate shelter for all	6 039.2	4 453.8	449.3	10.0	4 903.1	195.2	5 098.3
2. Sustainable human settlements development	3 003.1	4 342.4	98.0	2.2	4 440.4	191.3	4 631.7
Total	**9 042.3**	**8 796.2**	**547.3**	**6.2**	**9 343.5**	**386.5**	**9 730.0**

(2) *Extrabudgetary*

1998-1999 expenditure	*2000-2001 estimate*	*Source of funds*	*2002-2003 estimate*
		(a) Services in support of:	
-	-	(i) United Nations organizations	-
-	-	(ii) Extrabudgetary activities	-
		(b) Substantive activities	
2 118.8	4 011.1	United Nations Habitat and Human Settlements Foundation	4 809.0
5 362.0	6 648.5	United Nations Centre for Human Settlements (Habitat)	6 332.3
		(c) Operational projects	
21 367.6	11 800.0	United Nations Habitat and Human Settlements Foundation	14 600.0
108 959.5	170 000.0	UNDP and other sources	80 000.0
137 807.9	**192 459.6**	**Total**	**105 741.3**
146 850.2	**201 255.8**	**Total (1) and (2)**	**115 471.3**

Table A.13.9 **Post requirements**

Category	Established regular budget posts		Temporary posts				Total	
			Regular budget		Extrabudgetary			
	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003	2000-2001	2002-2003
Professional and above								
D-2	1	1	-	-	1	1	2	2
D-1	3	2	-	-	6	5	9	7
P-5	4	8	-	-	15	15	19	23
P-4/3	22	25	-	-	18	21	40	46
P-2/1	4	3	-	-	11	12	15	15
Subtotal	**34**	**39**	**-**	**-**	**51**	**54**	**85**	**93**
Other								
Local level	17	19	-	-	26	29	43	48
Total	**51**	**58**	**-**	**-**	**77**	**83**	**128**	**141**

Subprogramme 1
Adequate shelter for all

Table A.13.10 **Requirements by object of expenditure and source of funds**

(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	1998-1999 expenditure	2000-2001 appropriation	Resource growth		Total before recosting	Recosting	2002-2003 estimate
			Amount	Percentage			
Posts	5 506.0	4 134.6	276.1	6.6	4 410.7	151.2	4 561.9
Other staff costs	180.5	-	-	-	-	-	-
Consultants and experts	158.5	136.7	-	-	136.7	11.5	148.2
Travel of staff	39.9	40.4	43.0	106.4	83.4	4.6	88.0
Contractual services	85.9	34.0	-	-	34.0	3.5	37.5
General operating expenses	68.4	34.2	130.2	380.7	164.4	16.9	181.3
Supplies and materials	-	25.1	-	-	25.1	2.5	27.6
Furniture and equipment	-	48.8	-	-	48.8	5.0	53.8
Total	**6 039.2**	**4 453.8**	**449.3**	**10.0**	**4 903.1**	**195.2**	**5 098.3**

(2) *Extrabudgetary*

1998-1999 expenditure	*2000-2001 estimate*	*Source of funds*	*2002-2003 estimate*
		(a) Services in support of:	
-	-	(i) United Nations organizations	-
-	-	(ii) Extrabudgetary activities	-
		(b) Substantive activities	
		United Nations Habitat and Human	
1 059.2	1 756.0	Settlements Foundation	2 545.0
		United Nations Centre for Human	
2 607.7	3 052.7	Settlements (Habitat)	3 358.1
		(c) Operational projects	
		United Nations Habitat and Human	
10 683.8	5 350.0	Settlements Foundation	6 800.0
54 479.7	25 000.0	UNDP and other sources	30 000.0
Total	**68 830.4**	**35 158.7**	**42 703.1**
Total (1) and (2)	**74 869.6**	**39 612.5**	**47 801.4**

Table A.13.11 **Post requirements**

	Established regular budget posts		*Temporary posts*					
			Regular budget		*Extrabudgetary*		*Total*	
Category	*2000-2001*	*2002-2003*	*2000-2001*	*2002-2003*	*2000-2001*	*2002-2003*	*2000-2001*	*2002-2003*
Professional and above								
D-2	1	1	-	-	-	-	1	1
D-1	2	1	-	-	2	2	4	3
P-5	2	4	-	-	8	7	10	11
P-4/3	11	12	-	-	10	11	21	23
P-2/1	3	3	-	-	5	6	8	9
Subtotal	**19**	**21**	**-**	**-**	**25**	**26**	**44**	**47**
Other								
Local level	8	9	-	-	13	15	21	24
Total	**27**	**30**	**-**	**-**	**38**	**41**	**65**	**71**

Resource requirements (before recosting)

Posts

A.13.9 The requirement of $4,410,700, reflecting an increase of $276,100, provides for the posts reflected in table A.13.11. The increase results from post changes initiated by the revitalization strategy for the Centre that commenced in late 1998 and that was endorsed by the Commission on Human Settlements at its seventeenth session, in May 1999 (resolution 17/7). The post changes are as follows:

(a) The establishment of a new P-4 post for a human settlements officer in the regional office for Asia and the Pacific, in line with recommendations of the Office of Internal Oversight Services (A/54/764, para. 76) to ensure the sustainability of the regional offices and enable them to play a pivotal role in the implementation of the Centre's work programme; there are

increasing demands for the regional offices to execute normative tasks in addition to their technical cooperation functions, especially in connection with the Centre's global activities in the areas of secure tenure, housing rights and urban governance;

(b) The establishment of a new P-4 post for the head of the Gender Unit. One of the central goals of the Centre in its current programme of work is mainstreaming the overall objectives of gender equality and women's rights. The Gender Unit's mandate has recently been expanded to include that of women's empowerment in human settlements development and management, including links to the Centre's partners. The Unit plays a cross-cutting role and therefore has functional links to the substantive branches and the regional offices;

(c) The reclassification of the head of the Housing Policy Unit from the P-4 to the P-5 level. The reclassification reflects the increased complexity and level of the functions assigned to the post;

(d) The redeployment of one D-1 post to the New York Liaison Office from this subprogramme in exchange for one P-5 post. The functions carried out by the D-1 post in this subprogramme have been redistributed, and it is considered that the remaining part of those functions can be performed at the P-5 level;

(e) The establishment of a new Local level post to assist with clerical support functions.

Consultants and experts

A.13.10 An amount of $136,700, at the maintenance level, is required to cover the cost of: (a) specialized consultancy services not available at the Centre for the preparation of the following outputs: a legal guidebook on the different forms of tenure, tools for the promotion of equal tenurial rights for women, policy papers on secure tenure, guidelines for the reform of housing rights legislation, *The State of the World's Cities Report, 2003*, guidelines for strengthening environmental health in slum-upgrading projects and update and expansion of databases on shelter indicators ($87,500); and (b) two expert group meetings on the global campaign for secure tenure and on self-help housing schemes, and on housing finance microcredit systems for low-income settlements ($49,200).

Travel of staff

A.13.11 An amount of $83,400, reflecting an increase of $43,000, is required for the attendance at meetings organized by and/or consultations with United Nations organizations and agencies, governmental authorities, intergovernmental organizations, scientific institutions, non-governmental organizations and other partners. The increase of $43,000 is due to an expansion of the travel programme and to a more realistic sharing of travel expenditures between the United Nations regular budget and extrabudgetary resources.

Contractual services

A.13.12 Requirements of $34,000, at the maintenance level, cover the printing requirements of the Centre for the following expected reports and publications: declaration on the norms of secure tenure ($2,000), legal guidebook on different forms of tenure ($5,000), tools for the promotion of equal tenurial rights for women ($3,000), guidelines on the reform of housing rights legislation ($2,000), eight issues of *Habitat Debate* ($18,000) and non-recurrent publications, including reports on progress made in the removal of discrimination against women in respect of property titles and inheritance rights, guidelines for strengthening environmental health in slum-upgrading projects and management tool kits and training packages ($4,000).

General operating expenses

A.13.13 An amount of $164,400, reflecting an increase of $130,200, is to cover the cost of communications and maintenance of office automation equipment requirements. Part of the increase ($34,200) relates to communications costs and represents a more accurate assessment of the charges that fall under the regular budget. The other part of the increase ($96,000) arises for the first-time provision of maintenance costs for information technology and reproduction equipment. This amount was previously charged to the Foundation. It reflects the standard charges per regular budget post for information technology equipment.

Supplies and materials

A.13.14 An amount of $25,100, at the maintenance level, is required for the cost of stationery and supplies.

Furniture and equipment

A.13.15 An amount of $48,800, at the maintenance level, is required for the replacement of office automation equipment.

Subprogramme 2
Sustainable human settlements development

Table A.13.12 **Requirements by object of expenditure and source of funds**

(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1998-1999 expenditure*	*2000-2001 appropriation*	*Resource growth*		*Total before recosting*	*Recosting*	*2002-2003 estimate*
			Amount	*Percentage*			
Posts	2 778.8	3 358.3	562.9	16.7	3 921.2	146.6	4 067.8
Other staff costs	-	248.3	(248.3)	(100.0)	-	-	-
Consultants and experts	164.8	473.4	(336.8)	(71.1)	136.6	11.5	148.1
Travel of staff	36.8	122.9	-	-	122.9	6.6	129.5
Contractual services	-	34.2	-	-	34.2	3.6	37.8
General operating expenses	22.7	34.2	120.2	351.4	154.4	15.8	170.2
Supplies and materials	-	25.1	-	-	25.1	2.5	27.6
Furniture and equipment	-	46.0	-	-	46.0	4.7	50.7
Total	**3 003.1**	**4 342.4**	**98.0**	**2.2**	**4 440.4**	**191.3**	**4 631.7**

(2) *Extrabudgetary*

1998-1999 expenditure	*2000-2001 estimate*	*Source of funds*	*2002-2003 estimate*
		(a) Services in support of:	
-	-	(i) United Nations organizations	-
-	-	(ii) Extrabudgetary activities	-
		(b) Substantive activities	
1 059.6	2 255.1	United Nations Habitat and Human Settlements Foundation	2 264.0
2 754.3	3 595.8	United Nations Centre for Human Settlements (Habitat)	2 974.2
		(c) Operational projects	
10 683.8	6 450.0	United Nations Habitat and Human Settlements Foundation	7 800.0
54 479.8	145 000.0	UNDP and other sources	50 000.0
68 977.5	**157 300.9**		**63 038.2**
71 980.6	**161 643.3**		**67 669.9**

Table A.13.13 **Post requirements**

	Established regular budget posts		*Temporary posts*					
			Regular budget		*Extrabudgetary*		*Total*	
Category	*2000-2001*	*2002-2003*	*2000-2001*	*2002-2003*	*2000-2001*	*2002-2003*	*2000-2001*	*2002-2003*
Professional and above								
D-2	-	-	-	-	1	1	1	1
D-1	1	1	-	-	4	3	5	4
P-5	2	4	-	-	7	8	9	12
P-4/3	11	13	-	-	8	10	19	23
P-2/1	1	-	-	-	6	6	7	6
Subtotal	**15**	**18**	**-**	**-**	**26**	**28**	**41**	**46**
Other								
Local level	9	10	-	-	13	14	22	24
Total	**24**	**28**	**-**	**-**	**39**	**42**	**63**	**70**

Resource requirements (before recosting)

Posts

A.13.16 An amount of $3,291,200, reflecting an increase of $562,900, provides for the posts reflected in table A.13.13. The increase is the net result of several post changes arising mainly in relation to the revitalization strategy for the Centre (Commission resolution 17/7). The changes are as follows:

(a) The establishment of one new P-5 information coordinator post. A review of the organization of information and information technology within the Centre identified the absence of an information strategy and the lack of coordination of the Centre's information activities as serious gaps that needed to be addressed. The review concluded that it was necessary to establish a post the main responsibility of which would be the coordination of the Centre's

information strategy and activities as well as provision of overall leadership, supervision and management of information activities;

(b) The establishment of a new P-4 human settlements officer post in the regional office for Latin America and the Caribbean. Within the context of the approved programme of work, the regional offices are now playing a pivotal role in the implementation of the Centre's work programme. There are increasing demands for the regional offices to execute normative tasks in addition to their technical cooperation functions, especially in connection with the Centre's global activities in the areas of secure tenure, housing rights and urban governance. In the light of this and the recommendations of the Office of Internal Oversight Services (A/54/764, para. 76), it is proposed to strengthen the regional offices for Latin America and the Caribbean with the establishment of one P-4 human settlements officer post;

(c) The reclassification of the post of the head of the Risk and Disaster Unit from the P-4 to the P-5 level, which reflects the increased complexity and level of functions assigned to the post;

(d) The redeployment of one P-4 and one P-3 post from the Office of the Executive Director to this subprogramme;

(e) The redeployment of a General Service post from the Office of the Executive Director to carry out clerical functions under this subprogramme;

(f) The redeployment of a P-2 post to the Office of the Executive Secretary for an information technology officer. The functions of that officer are summarized in paragraph A.13.3 above.

Other staff costs

A.13.17 The decrease of $248,300 relates to a one-time provision in the biennium 2000-2001 for general temporary assistance requirements in connection with the special session of the General Assembly for an overall review and appraisal of the implementation of the Habitat Agenda and its preparatory process.

Consultants and experts

A.13.18 The provision of $136,600, reflecting a decrease of $336,800, is to cover: (a) specialized consultancy services not available at the Centre for the preparation of the following outputs: a policy paper on the impact of urbanization on rural development, municipal management and finance tools for use by cities, tools for crime prevention and urban vulnerability reduction, tools for disaster prevention and management, *The State of the World's Cities Report, 2003*, tools for urban environmental management and update and expansion of databases on urban governance indicators ($87,300); and (b) two expert group meetings on the norms of good urban governance and on crime prevention and anti-disaster strategies ($49,300). The decrease of $336,800 relates to the one-time provision for ad hoc expert group meetings approved in the biennium 2000-2001 in connection with the special session of the General Assembly for an overall review and appraisal of the implementation of the Habitat Agenda and its preparatory process.

Travel of staff

A.13.19 An amount of $122,900, at the maintenance level, is required for attendance at meetings organized by and consultations with United Nations organizations and agencies, governmental authorities, intergovernmental organizations, scientific institutions, non-governmental organizations and other partners.

Contractual services

A.13.20 An amount of $34,200, at the maintenance level, is to cover the external production of the following expected reports and publications: declaration on the norms of good urban governance, municipal management and finance tools for cities, *Habitat Debate* and *The State of the World's Cities Report, 2003*.

General operating expenses

A.13.21 An amount of $154,400, including an increase of $120,200, is required for communications requirements and maintenance of office automation equipment. Part of the increase ($24,200) is for communications costs and represents a more accurate assessment of the charges that appropriately relate to the regular budget. The other part of the increase ($96,000) relates to a first-time provision for maintenance costs for information technology and reproduction equipment. This amount was previously charged to the Foundation. The amount reflects the standard charge per staff member for equipment.

Supplies and materials

A.13.22 An amount of $25,100, at the maintenance level, is required for the cost of stationery and supplies.

Furniture and equipment

A.13.23 An amount of $46,000 is required for the replacement of office equipment.